

# Recursion and complexity

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# Models of computation: Turing machines

A deterministic **Turing machine** (**TM**) with  $k$  tapes is a four-tuple

$$M = \langle Q, \Sigma, \delta, q_0 \rangle$$

where

$Q$  is a finite set of states;

$\Sigma$  is the tape alphabet;

$\delta$  is the transition function,

$$\delta : Q \times \Sigma^k \rightarrow Q \times \Sigma^k \times \{L, N, R\}^k;$$

$q_0 \in Q$  is the initial state.

# Models of computation: Turing machines

$\delta$  is the transition function,

$$\delta : Q \times \Sigma^k \rightarrow Q \times \Sigma^k \times \{L, N, R\}^k.$$

- ▶ Situations in which the transition function is undefined indicate that the computation must stop;
- ▶ Otherwise the result of the transition function is interpreted as follows:
  - ▶ The first component is the new state;
  - ▶ The second component is the tuple of symbols to be written on the scanned cells of the  $k$  tapes;
  - ▶ The third component specifies the moves of the tape heads.

# Models of computation: Turing machines

- ▶ A machine  $M$  starts operating on an input word  $w$  with:
  - ▶ The first tape (input tape) containing  $w$ , and a fixed symbol called *blank* ( $B$ ) in the remaining cells;
  - ▶ Every cell of the other tapes also contains  $B$ ;
  - ▶ The internal state is the initial state  $q_0$ .
- ▶ Then  $M$  proceeds by applying the transition function  $\delta$  as long as possible.
- ▶ If after a sequence of steps the machine stops, the output is the word which appears in the  $k$ -th tape (output tape) of the machine.

# Models of computation: Turing machines

Given a  $k$ -tape TM  $M$ , a **configuration** of  $M$ , also called an **instantaneous description**, or a **snapshot**, is a  $k + 1$  tuple

$$(q, x_1, \dots, x_k)$$

where

- ▶  $q$  is the current state of  $M$ ;
- ▶  $x_j \in \Sigma^* \# \Sigma^*$ , for  $1 \leq j \leq k$ ,  
 $\# \notin \Sigma$  marks the position of the tape head (the head scans the symbol immediately at the right of the “#”);
- ▶ All symbols in the infinite tape not appearing in  $x_j$ , for  $1 \leq j \leq k$ , are assumed to be  $B$ .

The **initial configuration** of a machine  $M$  on an input  $w$  is  $(q_0, \#w, \#, \dots, \#)$ .

# Models of computation: Turing machines

Given a  $k$ -tape TM  $M$ , the **computation** of  $M$  on  $w$  is a sequence of configurations of  $M$  which

- ▶ starts with the initial configuration of  $M$  on  $w$ ;
- ▶ each step from a configuration to the next obeys to the transition function of  $M$ ;
- ▶ if it ends, it ends in a configuration in which no more steps can be performed.

A total function  **$f$  is computed** by a TM  $M$  iff, for every input  $w$ , the computation of  $M$  on  $w$  is a finite sequence of configurations such that the last configuration corresponds to  $f(w)$ .

# Models of computation

## Church's thesis

- ▶ Every “effective computation” can be programmed to run on a Turing machine.

Church's thesis cannot be “proven” because concepts such as “effective process” and “algorithms” are not part of any branch of mathematics.

# Introduction to complexity theory

The classification of the complexity problems should not depend on a particular computational model but rather should measure the intrinsic difficulty of the problem.

The basic model of computation for our study is the **multitape TM**, but the measurement mechanisms are essentially machine-independent.

The two most important measures, and the two most common measures, are **time**, the time it takes a program to execute, and **space**, the amount of storage used during a computation.



# Complexity classes and complexity measures

## TIME complexity

- ▶ Let  $M$  be an (on-line) TM, and let  $T$  be a function over  $\mathbb{N}$ .  $M$  is a  $T(n)$  **time-bounded** TM if for every input of length  $n$ ,  $M$  makes at most  $T(n)$  moves before halting.
- ▶ A function  $f$  (over  $\mathbb{N}$ ) that is computed by a deterministic  $T(n)$  time-bounded TM  $M$  has **time complexity**  $T(n)$ .

By convention, the time it takes to read the input is counted (this takes  $n + 1$  steps). So when we say a computation has time complexity  $T(n)$ , we really mean  $\max(n + 1, \lceil T(n) \rceil)$ .

- ▶  **$FDTIME(T(n))$**  is the set of all functions having time complexity  $T(n)$ .

# Complexity classes and complexity measures

## SPACE complexity

- ▶ An **off-line TM** is a multitape TM with a separate read only input tape.
- ▶ Let  $M$  be an off-line multitape TM and let  $S$  be a function over  $\mathbb{N}$ .  
 $M$  is a  $S(n)$  **space-bounded** TM if, for every word of length  $n$ ,  $M$  scans at most  $S(n)$  cells over all storage tapes.
- ▶ A function  $f$  (over  $\mathbb{N}$ ) that is computed by a deterministic  $S(n)$  space-bounded TM has **space complexity**  $S(n)$ .

Every TM is permitted to use at least one work cell, so by space complexity  $S(n)$  we mean  $\max(1, \lceil S(n) \rceil)$ .

- ▶  **$FDSPACE(S(n))$**  is the set of all functions having space complexity  $S(n)$ .

# Complexity classes and complexity measures

We are going to focus on two complexity classes:

- ▶  $\text{FPtime} = \cup_k \text{FDTIME}(n^k)$
- ▶  $\text{FPspace} = \cup_k \text{FDSPACE}(n^k)$

# Complexity classes and complexity measures

We are going to focus on two complexity classes:

- ▶ **FPtime** =  $\cup_k FDTIME(n^k)$
- ▶ **FPspace** =  $\cup_k FDSPACE(n^k)$

**Known:**  $FPtime \subseteq FPspace$

**OPEN:**  $FPtime = FPspace$  ?

# Exercises

1. Describe a TM that works for ever (never terminates) on every input.
2. Describe two different TM computing the function  $\pi_1^1 : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that  $\pi_1^1(w) = w$ .
3. Consider the function  $S_1 : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that  $S_1(x) = x1$ .
  - 3.1 Define a TM for  $S_1$ .
  - 3.2 Is the described machine a  $T(n)$  time-bounded TM?  
Which function  $T$  may be considered as time bound?
  - 3.3 Is the described machine a  $S(n)$  space-bounded TM?  
Which function  $S$  may be considered as space bound?