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## Faculty of Law:

Following the resolution of the Council of the Faculty of Law of 7 March 2016 and the statement of the Senate of 11 May 2016, the Presidential Board approved the Doctoral Regulations of the Faculty of Law on 24 May 2016 (§§ 44 (1) sentence 2, 9 (3) sentence 1 NHG in the version published on 26 February 2007 (Nds. GVBI., p. 69), last amended by Article 1 of the Law of 15 December 2015 (Nds. GVBI., p. 384); § 41 (2) sentence 2 NHG; § 37 (1) sentence 3 No. 5 (b) NHG).

# Doctoral Regulations of the Faculty of Law of the Georg-August-Universität Göttingen

## 1. Preconditions for awarding a doctoral degree

## § 1

(1) The Faculty of Law shall award the degree of Doctor of Law (Dr. jur.) on the basis of an examination.

(2) <sup>1</sup>An honorary doctoral degree (Dr. jur. h.c.) may be awarded for exceptional scientific achievements or for outstanding contributions to science or law. <sup>2</sup>This decision requires a majority of four fifths of the valid votes cast by the doctorate-holding members of the Council of the Faculty.

## § 2

The doctoral examination shall consist of a scientific treatise (thesis) and an oral examination.

#### 2. Acceptance as a doctoral candidate

#### § 3

(1) <sup>1</sup>Following receipt of an application for doctoral study, a doctoral committee appointed by the Faculty or, if such a committee is not in place, the doctorate-holding members of the Council of the Faculty acting as a doctoral committee shall decide whether the applicant is to be accepted as a doctoral candidate. <sup>2</sup>Acceptance as a doctoral candidate is based on the following requirements:

a) the candidate holds university entrance qualifications;

b) the candidate has passed the first or second (state) exam in Law (grade "satisfactory" ("vollbefriedigend") or in accordance with the Ordinance on a Scale of Grades and Points for the First and Second Exam in Law of 3 December 1981, BGBI. ("Bundesgesetzblatt") I, p. 1243) in the respectively valid version;

c) a member of the Faculty's teaching staff has agreed to supervise the candidate (§ 14);

d) a statement confirming the language of the thesis (§ 12);

e) a statement confirming that no agents offering doctorates in return for payment have been involved and that no grounds for withdrawal of the doctoral degree exist (§ 35).

(2) <sup>1</sup>In exceptional cases, the requirement of passing the Law exam with distinction may be waived upon application. <sup>2</sup>The application to waive this requirement must be submitted at the same time as the application for admission as a doctoral candidate.

(3) <sup>1</sup>Applicants who have already failed a doctoral examination once are not accepted as doctoral candidates on principle. <sup>2</sup>Acceptance may be granted for significant reasons upon application.

## § 4

(1) <sup>1</sup>A course of university study taken over at least eight semesters and completed with a Diploma or Master's degree and a grade equivalent to a distinction (§ 3 (1) (b)) may be recognised as a substitute for the first (state) exam in Law. <sup>2</sup>The usual precondition for this is that the applicant has completed at least one seminar at the Faculty with outstanding grades, thus proving his or her ability to work in the academic field of Law.

(2) <sup>1</sup>A state exam taken abroad or a comparable examination at a foreign university may be recognised as equivalent. <sup>2</sup>The usual precondition for this is that the applicant has been awarded a Master's degree in Law for foreign students by a German university and that their Master's thesis has been awarded a grade equivalent to a distinction (§ 3 (1) (b)). <sup>3</sup>The requirement for a German Master's degree may be waived if equivalence is confirmed by other means through exceptional academic or other scientific achievements. <sup>4</sup>Evidence pursuant to § 3 (1) (a) is not required for applicants holding a foreign degree.

## § 5

(1) <sup>1</sup>The doctoral committee makes decisions pursuant to §§ 3 and 4 by resolution, for which a majority of the valid votes cast is required. <sup>2</sup>If the requirements for admission pursuant to § 3 (1) are fulfilled, the decision may also be made by the Dean's Office as a summary decision pursuant to § 3 (1) sentence 1.

(2) Following the applicant's acceptance as a doctoral candidate, the Dean shall appoint two members of the Faculty's teaching staff (doctoral committee) with whom the doctoral candidate shall enter into a supervision agreement as per Appendix 2 to commence his or her doctorate.

## 3. Matriculation and duration of doctoral studies

§ 6

<sup>1</sup>Following the applicant's acceptance as a doctoral candidate, he or she is obliged to matriculate at the Georg-August-Universität Göttingen as a doctoral student. <sup>2</sup>A leave of absence from matriculation is possible according to the general rules of the matriculation regulations; the university's registrar's office shall decide on such leaves of absence. <sup>3</sup>The obligation to matriculate ends in the semester in which the oral examination is completed successfully.

## 4. Admission to the doctoral examination

### § 7

(1) The application for admission to the doctoral examination is to be submitted to the Dean's Office using the form available there.

(2) The application is to be accompanied by:

a) the thesis, in both a printed copy and as an unencrypted PDF file with no access restrictions;

b) a curriculum vitae providing information on the candidate's date and place of birth, nationality and academic educational career;

c) a certificate of good conduct from the Federal Central Criminal Register, to be sent directly to the Dean's Office by the relevant authority;

d) a statement on whether the candidate has already applied to take or has taken a Diploma or state exam or another doctoral examination, and if so, whether they were successful;

e) proof of matriculation for the duration of the doctoral studies during which there was an obligation to matriculate pursuant to § 6;

f) a statement confirming that the candidate has taken part in a taught class or lecture at the Faculty or a doctoral programme for doctoral candidates (e.g. seminar for doctoral candidates).

(3) The application for admission shall include the following affirmation: "I hereby affirm that I have written the submitted thesis (the title of the thesis follows) independently and without unauthorised assistance. I have not used any other tools or texts than those specified in the thesis. I have marked

all passages taken literally or by analogy from other authors. The two versions submitted (print and PDF) are identical."

(4) The decision to admit the candidate to the doctoral examination shall be made by the Dean.

### § 8

<sup>1</sup>The candidate shall be permitted to withdraw from the doctoral examination procedure as long as the thesis has not been rejected and the oral examination has not yet commenced. <sup>2</sup>In this case, the attempt to take the doctoral examination shall be disregarded.

## 5. Composition of individual and overall grades

## § 9

(1) <sup>1</sup>The following (with the exception of non sufficit) may be awarded as individual grades and as an overall grade:

- summa cum laude
- magna cum laude
- cum laude
- satis bene
- rite
- non sufficit.

<sup>2</sup>The following factors apply to the calculation of grades: summa cum laude (0), magna cum laude (1), cum laude (2), satis bene (3), rite (4), non sufficit (5).

(2) <sup>1</sup>The overall grade is the result of sum of the grades of the two written examiners' reports (counted once each) and the oral grade (counted once) divided by three. <sup>2</sup>If necessary, the result can be rounded to determine the overall grade.

## 6. Thesis

## § 10

The topic of the thesis shall be selected from one of the subject areas covered by the professors of the Faculty of Law.

## § 11

<sup>1</sup>The thesis shall represent a significant and independent academic achievement. <sup>2</sup>The thesis may not have been used for another doctorate at a university in Germany or abroad, unless stated otherwise in these regulations.

#### § 12

<sup>1</sup>The thesis shall be written in German or English. <sup>2</sup>In exceptional cases, candidates may apply to write the thesis in French if there are special subject-specific grounds for doing so. This requires the approval of the Council of the Faculty. <sup>3</sup>A summary in German shall be supplied for theses written in a foreign language.

## § 13

Works that have already been published in part shall be admissible as a thesis.

#### § 14

(1) The Dean appoints two members of the Faculty's teaching staff, usually the members of the doctoral committee, as examiners.

(2) <sup>1</sup>Members of the teaching staff include full-time, adjunct ("außerplanmäßig") and honorary professors as well as associate professors ("Privatdozentinnen und -dozenten"), including staff who are no longer active ("Emeriti") and pensioners. <sup>2</sup>A member of the teaching staff of another faculty of Law or, if the topic of the thesis touches upon the subject area of another faculty, a member of the teaching staff of this other faculty may also be appointed as examiner.

(3) <sup>1</sup>A higher education professor or lecturer in Law at a university or an institution of higher education equivalent to a university at which the degree of Doctor of Law is not awarded may be appointed as examiner by the Dean in the same manner as a member of teaching staff if this has been agreed in a contract of cooperation between the Faculty of Law of the Georg-August-Universität Göttingen and the university or equivalent higher education institution. <sup>2</sup>If no such contract of cooperation exists, then the appointment of such a higher education professor or lecturer requires the approval of the doctoral committee.

#### § 15

<sup>1</sup>The examiners' reports shall be completed within six months of the admission decision (§ 7). <sup>2</sup>They shall include a grade (§ 9) as well as the recommendation to accept, reject or return the thesis for revision. <sup>3</sup>The grade rite or above implies that the thesis has been accepted, the grade non sufficit that it has been rejected.

(1) If both examiners' reports unanimously recommend that the thesis be accepted, the Dean shall notify the members of the teaching staff of the grade, informing them that both the thesis and the examiners' reports will be available for confidential viewing by the members of the teaching staff in the Dean's Office for the period of one week.

(2) The thesis is accepted if no member of the teaching staff raises an objection to the acceptance of the thesis or its grade, stating their reasons for doing so in writing.

(3) If an objection is raised, the doctoral committee shall make a decision after critically evaluating all available reports.

### § 17

(1) If the examiners' reports unanimously recommend rejecting the thesis, it is rejected.

(2) <sup>1</sup>If the examiners' reports unanimously recommend revisions to the thesis, these revisions shall be carried out by an appropriate deadline determined by the examiners. The Dean's Office shall inform the doctoral candidate of this deadline. <sup>2</sup>If a thesis returned for revision is not resubmitted by this deadline, it shall be regarded as rejected.

## § 18

(1) If the recommendations on acceptance, rejection, or revision vary, the Dean shall commission an additional examiner's report by a member of the teaching staff.

(2) Following this additional report, the doctoral committee shall make a decision after critically evaluating all available reports.

## § 19

If the thesis is rejected, the candidate is regarded as having failed the examination.

## § 20

<sup>1</sup>The printed and electronic versions of the submitted copy of the thesis and all examiners' reports shall be filed in the examination records. <sup>2</sup>The printed copy may be returned to the candidate for a limited period of time to make changes to the version to be published. <sup>3</sup>The doctoral candidate shall be permitted to view the examiners' report on the thesis. <sup>4</sup>If the thesis is accepted, the doctoral candidate shall be permitted to view the reports following the oral examination.

## 7. Oral examination

## § 21

In the oral examination, the candidates must prove that they possess a thorough education in Law and are able to independently think through problems in the field of Law.

#### § 22

(1) <sup>1</sup>The oral examination shall consist of a defence of the thesis ("Disputatio") on the basis of a theory paper produced by the doctoral candidate (max. 3 pages) and a scholarly debate. <sup>2</sup>The oral examination shall be held in German or English.

(2) <sup>1</sup>The oral examination shall commence with a presentation of the theories. <sup>2</sup>This presentation should last no longer than 15 minutes.

(3) <sup>1</sup>The scholarly debate shall follow on immediately from the presentation. <sup>2</sup>This debate usually lasts 30 minutes and covers the thesis and its legal context, including fundamental historical, methodical and doctrinal questions associated with the topic.

(4) <sup>1</sup>The oral examination shall be open to all members of the university. <sup>2</sup>This shall not apply to the deliberations of the examination board and the announcement of the examination results.

### § 23

(1) The oral examination shall take place after the thesis has been accepted.

(2) <sup>1</sup>Oral examinations do not usually take place during the semester break ("vorlesungsfreie Zeit"). <sup>2</sup>Exceptions are only possible with the consent of all parties involved.

(3) <sup>1</sup>The Dean shall determine the date of the oral examination. <sup>2</sup>He or she shall give the doctoral candidate at least four weeks' notice, informing him or her of the examiners appointed for the oral examination. <sup>3</sup>The candidate may choose to waive this period of notice.

(4) If candidates fail to attend the oral examination without a significant reason for their absence, they are regarded as having failed the examination.

#### § 24

(1) <sup>1</sup>The examination board shall consist of at least two members, appointed by the Dean from the persons authorised to examine pursuant to § 14. <sup>2</sup>The Dean shall appoint the chair. <sup>3</sup>Only one of the members of the examination board is permitted to have examined and graded the thesis.

(2) All members of the examination board shall be present for the entire duration of the examination.

Minutes of the oral examination shall be taken. These minutes shall be signed by the chair and added to the examination records.

#### § 26

<sup>1</sup>The examination board shall decide the result of the oral examination and determine the overall grade (§ 9). <sup>2</sup>The chair of the examination board shall verbally notify the doctoral candidate of the result.

## § 27

If the candidate fails the oral examination, it can be repeated one time only within a year, at the earliest after six months.

## 8. Publication of the thesis

## § 28

(1) The thesis shall be published.

(2) Besides publication as an independent monograph, the following forms of publication satisfy this condition:

a) photomechanical reproduction in A5 format;

b) reproduction in a scientific journal; the doctoral committee may permit this reproduction to be limited to part of the work;

c) reproduction as an electronic publication or in microfiche form;

d) publication by the university press of the Georg-August-Universität as a print on demand publication.

## § 29

(1) <sup>1</sup>In the case of § 28 (2) (b), 50 special copies shall be submitted to the Dean's Office, in the case of § 28 (2) (c) and (d), 20 printed copies and in other cases 50 copies shall be submitted to the Dean's Office. <sup>2</sup>Surplus obligatory copies may be returned to the doctoral candidate at the candidate's expense upon application one year following the submission of the obligatory copies.

(2) If candidates have gained their doctoral degree with an already published work or if the work is to be published by a trade publishing house in a series or as an independent monograph, 20 copies shall be submitted.

<sup>1</sup>The copies submitted shall be supplied with a title page as per the template title page in Appendix 1. <sup>2</sup>A short curriculum vitae outlining the candidate's scholarly education shall be printed at the end of the thesis. <sup>3</sup>The Faculty may waive these requirements. <sup>4</sup>They shall not apply if the work is published as a book in the book trade.

#### § 31

<sup>1</sup>The thesis shall be submitted for revision prior to printing. <sup>2</sup>The revision shall be carried out by the first examiner. <sup>3</sup>The second examiner may be included in the revision process at their express request. <sup>4</sup>If the doctoral degree is granted ahead of time, the signed certificate of revision shall be submitted to the Dean's Office along with the publishing contract; otherwise it shall be submitted with the obligatory copies.

#### § 32

<sup>1</sup>The obligatory copies (§ 29) shall be submitted to the Dean's Office within two years of passing the examination. <sup>2</sup>If this deadline is not met, all the rights gained through the examination shall expire. <sup>3</sup>The candidate shall be given an appropriate grace period under threat of the expiry of their rights.

#### 9. Awarding of the doctoral degree

#### § 33

(1) <sup>1</sup>If the applicants have fulfilled all of their obligations according to the doctoral regulations, the Dean shall award the doctoral degree by handing the candidate their doctoral certificate. <sup>2</sup>The doctoral certificate shall show the overall grade pursuant to § 9.

(2) <sup>1</sup>If a publishing contract with a recognised academic publishing house is presented, the doctoral degree can be awarded before the obligatory copies have been provided if the publishing house has guaranteed in writing that both printing and the delivery of the obligatory copies will be completed within the deadline. <sup>2</sup>In this case, the doctoral degree shall be awarded under the express reservation that the obligation to submit copies pursuant to § 29 (2) will be met within one year of the conferral of the doctoral degree. <sup>3</sup>If this obligation is not met by the doctoral candidate for reasons of their own making, the title shall be withdrawn; the doctoral certificate must be returned. <sup>4</sup>The decision shall be made by the Dean.

(3) An honorary doctoral degree is awarded by the presentation of the certificate made out on this, in which the achievements of the graduate are to be highlighted.

(4) <sup>1</sup>The doctoral title may not be used prior to the presentation of the certificate. <sup>2</sup>In particular, the acceptance of the thesis and the passing of the oral examination do not entitle the candidate to use the doctoral title.

## § 34

The Dean's Office keeps a doctoral register in which the name, date and place of birth of the graduate, the thesis title, the names of the examiners, the date of the oral examination, the overall grade and the date of the awarding of the degree shall be entered.

## § 35

(1) The doctoral degree may be withdrawn

a) if it transpires that the doctoral degree was gained through deceit, or that the requirements for admission to the doctoral examination or for awarding the degree were erroneously assumed to exist,

b) if it transpires retrospectively that the holder of the doctoral degree was unworthy of having a doctoral degree conferred upon him or her due to the grossly negligent or intentional violation of his or her obligation to maintain academic probity, or his or her later conduct proves him or her unworthy of holding a doctoral degree.

(2) If the graduate is convicted of a crime relating to the doctoral degree, the doctoral title shall be withdrawn.

(3) <sup>1</sup>Decisions pursuant to (1) and (2) shall be taken by the doctoral committee; in cases of suspicion of academic misconduct, the decision may be preceded by proceedings pursuant to the respectively valid version of the Regulations of the Georg-August-Universität to Ensure Good Academic Practice. <sup>2</sup>Notification shall be given by the Dean.

## § 36

The doctoral certificate may be renewed, albeit at the earliest on the 50th anniversary of the date on which the degree was awarded.

## 10. Doctoral programmes

(1) Applicants may apply to take part in a doctoral programme at the Faculty.

(2) Admission to the doctoral programme shall be granted by the doctoral committee upon written application; § 5 shall apply accordingly.

(3) Admission to the doctoral programme shall be conditional on the requirements for acceptance as a doctoral candidate pursuant to §§ 3 and 4 being fulfilled, including any necessary waivers and exemptions.

(4) Admission to the doctoral programme may be revoked on significant grounds.

## 11. Doctorates supervised jointly by a foreign university or faculty

§ 38

(1) Doctoral studies carried out jointly with a foreign university or faculty shall require

a) an agreement on the cross-border co-supervision of doctorates to have been concluded with the foreign university or faculty, or an individual contract of cooperation on carrying out a double doctorate to have been entered into with the foreign university or faculty;

b) the candidate to have been accepted as a doctoral candidate both at the Faculty of Law and at the foreign university or faculty.

(2) <sup>1</sup>The thesis may be submitted at the Faculty of Law Göttingen or at the foreign university or faculty, according to the details set out in the agreement referred to in (1). <sup>2</sup>A thesis that has already been submitted and accepted or rejected at the foreign university or faculty may not be resubmitted to the Faculty of Law Göttingen. <sup>2</sup>The agreement referred to in (1) shall ensure that a thesis that has already been submitted and accepted or rejected at the Faculty of Law Göttingen may not be resubmitted to the foreign university or faculty.

(3) In exceptional duly justified cases, the rules of these doctoral regulations may be departed from in accordance with the agreement referred to in (1).

(4) <sup>1</sup>During their doctoral studies, the candidate shall be supervised by a person authorised to supervise from the Faculty of Law Göttingen and a university professor or lecturer from the foreign university or faculty. <sup>2</sup>The manner in which the supervision is carried out shall arise from the agreement referred to in (1). <sup>3</sup>The option of taking part in a doctoral programme within the framework of the GGG (§ 37) shall remain unaffected.

(5) <sup>1</sup>If the thesis is submitted at the Faculty of Law Göttingen, § 39 shall apply. <sup>2</sup>If the thesis is submitted at the foreign university or faculty, § 40 shall apply.

### § 39

(1) <sup>1</sup>If the thesis is submitted at the Faculty of Law Göttingen, then the Faculty of Law Göttingen, by derogation from § 14 and in consultation with the foreign university or faculty, shall appoint an examination board on which academics from both universities are equally represented; further details on the board's composition are to be determined in the agreement referred to in § 38 (1). <sup>2</sup>Both thesis supervisors shall be appointed as examiners.

(2) <sup>1</sup>If the thesis was accepted at the Faculty of Law Göttingen, it is passed on to the foreign university or faculty for their consent to the doctoral examination continuing. <sup>2</sup>If the foreign university or faculty consents to the doctoral examination continuing, an oral examination shall take place at the Faculty of Law Göttingen pursuant to the regulations in §§ 21-27; in justified exceptional cases, the language requirement (§ 22 (1) sentence 2) and the exclusion of further examiners as members of the examination board ((§ 24 (1) sentence 3) may be departed from in accordance with the agreement referred to in § 38 (1).

(3) <sup>1</sup>If the thesis was accepted at the Faculty of Law Göttingen, but the foreign university or faculty does not consent to the doctoral examination continuing, the cooperation shall come to an end. <sup>2</sup>The doctoral examination procedure shall be continued according to the general rules set out in these doctoral regulations. <sup>3</sup>An examination board pursuant to § 24 shall be appointed for the examination.

#### § 40

(1) <sup>1</sup>If the thesis is submitted at the foreign university or faculty, the foreign university or faculty shall decide whether to accept the thesis and continue the doctoral examination following the examination of the thesis. <sup>2</sup>If the decision is affirmative, the Faculty of Law Göttingen shall decide whether to accept or reject the thesis once all required documents, including the examiners' report by the supervisor from the University of Göttingen, have been provided pursuant to §§ 10-20. <sup>3</sup>The Dean shall inform the foreign university or faculty of the result. <sup>4</sup>Furthermore, he or she shall inform them of the names of the examiners to be appointed. <sup>5</sup>The oral examination shall take place at the foreign university or faculty.

(2) <sup>1</sup>If the thesis is rejected by the Faculty of Law Göttingen, the cooperation shall come to an end. <sup>2</sup>The rejected dissertation may not be resubmitted at the University of Göttingen. <sup>3</sup>The regulations on repeating the doctoral examination shall remain unaffected.

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(3) <sup>1</sup>If the thesis is rejected by the foreign university or faculty, the cooperation comes to an end. <sup>2</sup>The provisions of § 39 (3) sentences 2 and 3 apply accordingly.

## § 41

<sup>1</sup>Following the successful completion of a joint doctorate with a foreign university or faculty, the candidate shall be presented with a joint doctoral certificate signed by both institutions, showing that the degree in question is a doctoral degree awarded jointly by the faculties or universities in question for an academic achievement. <sup>2</sup>If it is not possible to produce a joint doctoral certificate, the information that the doctoral degree is conferred on the basis of a joint doctorate with the foreign university or faculty shall be added to the doctoral certificate of the University of Göttingen.

#### 12. Effective date, transitional regulations and final provisions

#### § 42

(1) <sup>1</sup>These regulations shall become effective on the day following their publication in the official bulletin ("Amtliche Mitteilungen") of the Georg-August-Universität Göttingen. <sup>2</sup>At the same time, the previous doctoral regulations of the Faculty of Law in the version published on 2 August 1999 (Amtliche Mitteilungen no. 8/1999, Appendix IV), last amended by the decision of the Presidential Board on 18 March 2009 (Amtliche Mitteilungen no. 10/2009 p. 828, no. 12/2009 p. 1173, no. 34b/2009 p. 3888) shall expire.

(2) The previous doctoral regulations shall continue to apply for doctorates where the application to be admitted to the doctoral examination was made prior to these regulations becoming effective, until 31 March 2021 at the latest.

(3) <sup>1</sup>§ 3 (1) and § 5 (2) shall not apply to persons accepted as a doctoral candidate by a member of the teaching staff prior to these doctoral regulations becoming effective. <sup>2</sup>The obligation to matriculate pursuant to § 6 applies in principle from the summer semester of 2016 onwards. <sup>3</sup>Persons accepted as a doctoral candidate by a member of the teaching staff prior to these doctoral regulations becoming effective shall only be obliged to matriculate from the summer semester of 2018 onwards. <sup>4</sup>The documentation referred to in § 7 (2) (e) and (f) only needs to be submitted along with applications for admission to the doctoral examination from the summer semester of 2017 onwards.

(4) <sup>1</sup>Decisions (waivers, exemptions etc.) made under the previous doctoral regulations shall remain unaffected. <sup>2</sup>Applications made prior to these regulations becoming effective shall be assessed according to the previous doctoral regulations. <sup>3</sup>For procedures pursuant to § 35, the doctoral regulations effective at the time of the decision shall apply.

## Appendix 1

Template thesis title page

Front page:

(Title) .....

Thesis

written to obtain the doctoral degree

of the Faculty of Law

of the Georg-August-Universität Göttingen

submitted

by

.....

from.....(place of birth)

Göttingen 20.... (year of publication)

Back page:

First examiner:.....

Second examiner:....

Date of the oral examination:.....

Simultaneously published in (by)..... Vol. ....

Issue .... Page .... (Place) 20....

## Appendix 2

## Supervision agreement (template)

The doctoral candidate and the following members of the doctoral committee shall enter into a supervision agreement for the doctoral project.

Mr/Ms\*\_\_\_\_\_ [doctoral candidate] and

Mr/Ms\*\_\_\_\_\_[first supervisor]

and

Mr/Ms\*\_\_\_\_\_ [second supervisor]

(\* please delete as applicable)

The doctoral or examination regulations (in the following: doctoral provisions) comprehensively define the manner in which the doctorate is to be carried out, including any doctoral studies, and in particular define the rights and obligations of the doctoral candidate. This agreement shall define the doctoral provisions in more concrete terms to guarantee that the candidate receives the academic supervision necessary for the doctoral project to succeed and is prepared sufficiently for the doctoral examination in regard to content and methodology. To this end, the following details are agreed:

**1.** The doctorate is awarded by the Faculty of Law.

If applicable, include name of the doctoral degree course or research training group ("Graduierten-kolleg"):

**2.** Planned thesis topic (working title):

Language of the thesis: \_\_\_\_\_

Date on which the doctoral project shall commence (month/year): \_\_\_\_\_

Planned end date of the doctoral project (month/year):

**3.** The doctoral candidate shall report regularly to the doctoral committee, at least once a year, on the preparation, development and execution of their research project (research report). The execution plan (Appendix 3), which may be changed in particular at the request of the doctoral candidate with the approval of the doctoral committee, shall form the basis of the review. The report may also be presented within the context of a colloquium or similar event.

**4.** Once a year, the doctoral candidate shall document their progress report (updating the execution plan) and the reviews held as part of this in written form.

**5.** The doctoral committee undertakes to regularly monitor the writing of the progress report and the project's progress (according to plan) and to provide the necessary amount of oral or written commentary upon the contributions submitted by the agreed deadlines for review.

**6.** Should the supervision relationship dissolve through no fault of the doctoral candidate, the faculty responsible shall make efforts to establish an alternative supervisor in an appropriate subject area.

**7.** The doctoral candidate is obliged to inform the Dean's Office of changes to the thesis topic, in their status at the University of Göttingen and to their address.

**8.** The doctoral candidate shall agree to the personal data gathered in this agreement (including updated information) being passed on to the Göttingen Graduate School of Social Sciences (GGG). The GGG will use this data to inform the doctoral candidate about opportunities for advanced training and consultation.

Göttingen,	(date)	
		Doctoral candidate
		First supervisor
		Second supervisor

# Appendix 3

## Execution plan

Work stages	Date or period